

Coffee with ORPA: What's New and Different?

January 21st, 2021

Presenters:

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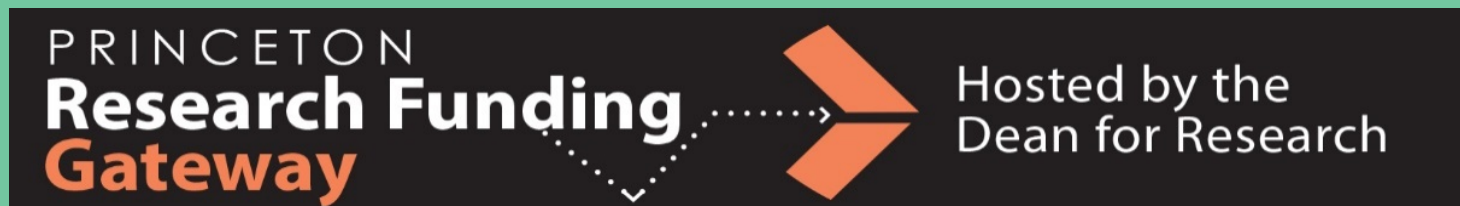


Agenda

- Research Funding Gateway
- Princeton ERA Updates
- PI Assurances in Princeton ERA
- Sponsored Research Dashboard Improvements
- NSF Update
- NIH Update
- Total Professional Effort
- International Hiring
- Q&A

Research Funding Gateway

Coleen Burrus and Mike Hodges





.....a curated database of research funding opportunities selected for the University community – hosted by the Dean for Research.

Princeton ERA Updates

Kyle Burkhardt

Princeton ERA Updates

- Go live: 8/31/2020
- 330 agreements created, 160 activated
- 630 proposals created, 474 submitted to sponsor
- New + updated guides + videos

New Quick Guides

- Tips and Tricks Quick Guide
 - Searching, Princeton ERA email, copying
- Princeton ERA States Quick Guide
- Grants vs Agreements Quick Guide
- Multi-Prong Quick Guide

Budgets

- Updated Effort Distribution Tool now includes:
 - Number of budget months
 - Blended salary tab
- New Budget FAQ + updated Budget Guide includes:
 - Formulas for how Princeton ERA calculates personnel budget amounts
 - How Princeton ERA applies inflation

S2S

- Updated S2S Guide includes
 - Opportunity-specific instructions for NIH K, F, and DP opps
 - Detailed steps for adding subawards
- New S2S video series
 - Uses NIH R01 with a detailed budget and a sub as an example
 - Separate videos for NIH F, K, DP, modular budgets, renewals, revisions, resubmissions

Ancillary Reviews

- Updated Quick Guides for Proposals + Agreements
 - Adding ancillary reviews
 - Responding to ancillary reviews
- Updated videos
 - Adding electronic PI assurances
 - Adding paper PI assurances
 - Adding additional ancillary reviews

Need help with Princeton ERA?

➤ Email erasupport@princeton.edu

PI Assurances in Princeton ERA

Zack Davis

PI Assurances

- PIs have the ability to electronically sign off on their Ancillary Reviews in Princeton ERA
 - Less offline communication needed; system generated emails
 - Assurances can easily be tracked for metrics
 - Can be approved on phone
- We have had about 64% of Faculty completing the Assurance in Princeton ERA thus far
 - 699 Assurances in total
 - 444 signed off on by Faculty
 - Understood that not all PIs will be amenable to this
- A quick guide and video are available for PIs on how to submit their Assurances in Princeton ERA

PI Assurance Metrics

Department	Faculty	Total	Percentage
Astrophysical Sciences	49	49	100%
High Meadows Environmental Institute	22	22	100%
Andlinger Center	25	27	93%
Electrical Engineering	31	37	84%
Chemical and Biological Engineering	27	33	82%

Sponsored Research Dashboard Improvements

Lisa Lonstein

Dashboard Country Views

- This Sponsored Research Dashboard enhancement shows details of proposals submitted, proposals awarded, and expenditures by country.
- When there is a pass-through sponsor, the country displayed is the country of the pass-through sponsor, otherwise the country of the sponsor is displayed.
- For expenditures, the country displayed represents the source of funding, not the country in which the expenditure was made

Country Displays

- There are multiple views including a colorful world map and details by division, department, and PI, etc. On the dashboard, there is a drop down menu of available views. For example, here are the choices of country views for the proposals Unit View dashboard:
 - Country Map
 - Country List
 - Country and Proposal Dept
 - Proposal Dept and Country
 - Country and Sponsor Granular
 - Country and PI
 - Country by Proposal Dept and PI
 - PI by Country and Sponsor Granular
 - PI Home Dept by Country and Sponsor Granular

There is an informational icon as well as drop-down lists as well as filtering slots for the user

Fiscal Year
(All) ▼

FY21: July-Dec



Select Country Display
Country Map ▼

Sponsor Type
(All) ▼

Division
(All) ▼

Enter Country Name

Enter Dept Name

Enter PI Name

Enter Sponsor Name

National Science Foundation Updates

Courtney Kohut

Significant Changes

Implemented as of October 5, 2020



> Biographical Sketch

- > [NSF Template or SciENcv](#)
- > More flexibility with SciENcv
- > List all outside appointments, especially foreign
- > Appointment should align with COA document
- > Synergistic Activities – cannot include multiple examples to further explain an activity as per PAPPG.

> Current & Pending

- > NSF Template or SciENcv
- > Should include any external startup funds, internal competitive research funding
- > Any outside appointments on the biosketch should reflect committed effort on the C & P
- > Effort should be included on anything cited – if no effort, the award should be removed.

ORPA Biographical Sketch | Current & Pending [Checklist](#)
NSF Current & Pending [FAQ](#)

Significant Changes



- Research Performance Progress Report (RPPR):
 - Submission via Research.gov
 - Annual reports are required for grants and cooperative agreements
 - Final and project outcome reports are necessary for continuing grants, cooperative agreements and fellowships
 - Interim project reports: submitted any time before the submission of the final project report.
- Submit changes of significance that occur during a project either via an interim report, or at the next annual report. This is especially important for new foreign appointments/affiliations.

NSF RPPR [Website](#)

NSF RPPR [FAQ](#)



Significant Changes

- EAGER and Rapid proposals require written program officer approval to be uploaded with proposal docs
 - Intellectual Merit section is no longer required in the project description
 - Research.gov is expanding the types of proposals that may be submitted
- HELPFUL LINKS
 - [NSF Policy Office](#)
 - [PAPPG FAQ](#)
 - [COA FAQ](#)
 - [Prior Approval Matrix](#)
 - Research.gov – [About Proposal Preparation and Submission](#)
 - If a proposal file update is needed prior to a deadline, **the PI must allow access for this to be completed.**

NIH Updates

Chi B. Chung

NIH: Updates to Format and Instruction Pages for Biosketch

Biosketch

- Section B: Will update title to capture all scientific appointments, foreign or domestic, paid or unpaid
- Section D: Will remove Research Support and move it to Other Support (Will keep Scholastic Performance for fellowships)
- Still 5 pages

Biosketch Mock-Up– Non-Fellowship Format Page

CURRENT FORMAT (as of July 2020)
OMB No. 0925-0001 and 0925-0002 (Rev. 03/2020 Approved Through 02/28/2023)

BIOGRAPHICAL SKETCH
Provide the following information for the Geneskey personnel and other significant contributors.
Follow this format for each person. **DO NOT EXCEED FIVE PAGES.**

NAME: _____

eRA COMMONS USER NAME (credential, e.g., agency login): _____

POSITION TITLE: _____

EDUCATION/TRAINING (Begin with baccalaureate or other initial professional education, such as nursing, include postdoctoral training and residency training if applicable. Add/delete rows as necessary.)

INSTITUTION AND LOCATION	DEGREE (if applicable)	Completion Date MM/YYYY	FIELD OF STUDY

A. Personal Statement

B. Positions and Honors

C. Contributions to Science

D. Additional Information, Research Support and/or Scholastic Performance

Mock-up with Proposed Changes (in red) DRAFT JULY 2020

BIOGRAPHICAL SKETCH
Provide the following information for the Geneskey personnel and other significant contributors.
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INSTITUTION AND LOCATION	DEGREE (if applicable)	Completion Date MM/YYYY	FIELD OF STUDY

A. Personal Statement

B. Positions, Scientific Appointments, and Honors

C. Contributions to Science

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NIH: Updates to Format and Instruction Pages for Other Support

Other Support

- Will be more structured and formatted similar to NSF's C&P form
- Will have 2 sections – Projects/Proposals & In-Kind
- Will clarify definition of gifts and in-kind support
- Will request completed projects (formerly captured on Bio)
- Will require copies of contracts related to foreign appointments/employment with foreign institutions for all foreign activities/resources reported on Other Support
- Still required at JIT

Other Support Updates – Format Page

New NIH mock-up with Proposed Changes

For New and Renewal Applications – DO NOT SUBMIT UNLESS REQUESTED
PHS 398 OTHER SUPPORT

Other Support – Projects/Proposals

NAME OF INDIVIDUAL: _____
Commons ID: _____

1. Title: _____
Project Number: _____ Name of PDI: _____
Major Goals: _____
Status of Support: Active Pending Completed
Source: _____
Primary Place of Performance: _____
Approved/Proposed Project Start Date (MM/YYYY): _____
Approved/Proposed Project End Date (MM/YYYY): _____
Total Award Amount (including Indirect Costs): _____
Person-Month(s) (Calendar/Academic/Summer) Per Calendar Year Committed to the Project

Calendar Year (YYYY)	Person Months (###/###)	Calendar Year (YYYY)	Person Months (###/###)
1.		4.	
2.		5.	
3.			

Other Support – In-Kind

#. Summary of In-Kind Contributions: _____
Status of Support: Active Pending
Source: _____
Primary Place of Performance: _____
Approved/Proposed Project Start Date (MM/YYYY): _____
Approved/Proposed Project End Date (MM/YYYY): _____
Person-Month(s) (Calendar/Academic/Summer) Per Calendar Year Committed to the Project

Calendar Year (YYYY)	Person Months (###/###)	Calendar Year (YYYY)	Person Months (###/###)
1.		4.	
2.		5.	
3.			

Estimated Dollar Value of In-Kind Contribution: \$ _____

OVERLAP (summarized for each individual): _____

NIH: Other Updates

- Notice of Award changes to first page (effective 10/1/20). (NOT-OD-20-155)
- Administrative supplements have to be electronically submitted through grants.gov. (NOT-OD-30-128)
- If there is a change in key personnel that relates to unsafe/unhealthy work environment, the NIH now requires explanation in prior approval request. (NOT-OD-20-124)
- Prohibition on using NIH grant funds for covered telecommunication equipment or services. (NOT-OD-21-04)
- Extension of special exception to post-submission materials policy during COVID for proposals submitted for August/October 2021 Councils. (NOT-OD-20-179)
- Temporary/extension of eligibility for K99/R00 award through Feb./March 2021. (Provides for 8 additional months of eligibility) (NOT-OD-20-158)

NIH: Resources/Reminders

➤ What's New

- NIH Virtual Conference presentation materials and videos (<https://grants.nih.gov/virtual-seminar-2020/presentations.html>)
- NIH table with examples of what to disclose (<https://grants.nih.gov/policy/protecting-innovation.htm>)

➤ What's The Same

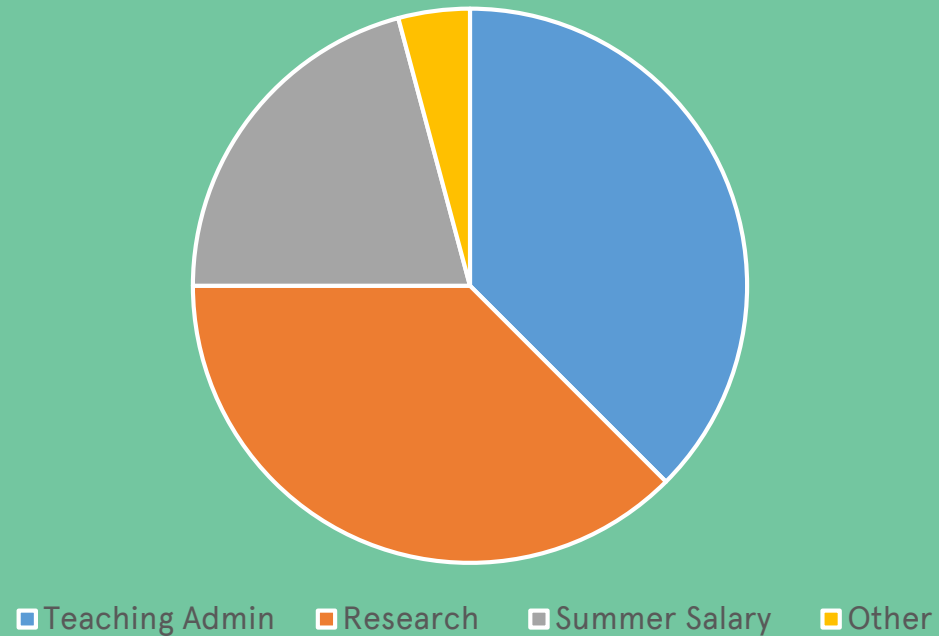
- NOT-OD-19-114- Reminder on NIH policies on Other Support, FCOI, and foreign component
- Bio – FAQ (Anticipate new bio format and instructions in few weeks with implementation in Spring 2021)(<https://grants.nih.gov/faqs#/biosketches.htm>)
- Other Support – FAQ (Same for Other Support)(<https://grants.nih.gov/faqs#/other-support-and-foreign-components.htm>)
- GPS dated 12/2019 (Anticipate new GPS in few weeks)
- Same salary limit and NRSA stipend levels, tuition, and fees (New notices expected soon. Salary limit will increase to \$199,300.)

➤ Reminders

- Effort on proposal should be reflected in Princeton's ERA system.
- Faculty should be current with CITI Training & COI disclosures so awards are not held up.
- Long term remote work abroad should be disclosed to NIH as soon as known.
- Prior approval for foreign components is required prior to the fact so coordinate and plan with ORPA early.
- Do not include hyperlinks or URLs in proposals unless requested in FOA. (NOFOD-20-174)

Total Professional Effort

Paula Looney



Different Types of Appointments

- 9 month appointments (tenure/tenure track)
 - Faculty Appointments
 - Academic Year time paid by the University
 - Summer salary up to 2.5 months
- 12 month appointments
 - Professional Research Staff
 - Senior Research Scholar
 - Research Scholar
 - Associate Research Scholar
 - Typically paid with external sources
 - May be paid with internal sources
- Full Time Lecturers
- Instructors
- Emeritus
- Others: [PI Status](#)

9 Month Appointments

- 2.5 Month Summer Effort
 - Internally funded (limited to 2 months)
 - Externally funded
- 9 Month Academic Year
 - Commitments to sponsored research programs normally may not entail more than 50 percent of a faculty member's duty time during the academic year and may not include any reduction of teaching, advising or service responsibilities.

12 Month Appointments

- Effort is apportioned over the 12 month appointment to align with the time connected to the work
- Often ties to specific time commitments or projects
 - Work on non-funded projects can create conflicts
 - Extensions without funding the continued effort can create conflicts of time

Available Effort and Total Professional Time

- Effort commitments are tracked for key persons
 - Committed to sponsor
 - Completed by the PI/Key Person
 - Certified by the PI
- Internal and External commitments can impact total professional effort
- All time commitments are reported to sponsors as part of total professional effort

Why is This Important?

- Conflict of commitments
- Limited overall available time
- Overlap
- Fulfillment of promises of time made to external sponsors
- Teaching and Administrative Duties require effort

CWO: 2/23/2021

Proposing and Monitoring Key Personnel Effort Commitments