

Non-Financial Agreement Assurance

This form must be submitted and uploaded with each Agreements Module Non-Financial Agreement that requires ORPA review and signature. Download this form to your computer prior to completion.

Researcher Name (person signing below)			
Project Title			
Princeton ERA Agreements Record Number			
Is this Non-Financial Agreement directly related to either an externally funded proposal, an existing external award/ agreement or an external award/agreement currently under negotiation?	Yes	No	
If yes, please enter the information – if none – please enter “None”	ERA FP Number		Prime Award Number

As the

I certify to the best of my knowledge:

<ul style="list-style-type: none"> Relative to my role on this Non-Financial Agreement/Project, I am responsible for the completeness of the information included in this Princeton ERA record and certify that the information herein is true and accurate. If I am the lead PI, I further certify that I am responsible for this Agreement and the activity it supports in its entirety.
<ul style="list-style-type: none"> I am not debarred, suspended or proposed for debarment or suspension, declared ineligible or otherwise excluded from receiving federal monies by any agency of the United States Government.
<ul style="list-style-type: none"> My required annual University Conflict of Interest (COI) disclosure is accurate, complete and updated. I understand I am obligated to report in a timely fashion any changes to such disclosure in accordance with University and sponsor policies. This disclosure includes an accurate, complete and timely representation of any participation I have in a foreign government talent recruitment program (FGTRP), understanding that such participation may make me ineligible to propose or receive federal funding, consistent with Section 10632 of the CHIPS and Science Act, the latest National Science Foundation Proposal and Award Policies and Procedures Guide, or other sponsor guidance. I also understand that I may need to provide copies of my outside foreign agreements to the University and/or federal sponsors in the course of applying for or managing federal funding.
<ul style="list-style-type: none"> I have read, understood and approve this Non-Financial Agreement and any related project statement of work. I also understand that I may be obligated to report on this Non-Financial Agreement/Project in external funding proposals and progress reports in accordance with University and sponsor policies.
<ul style="list-style-type: none"> I have reviewed and accept the University requirements and policies applicable to this Non-Financial Agreement/Project. If the Agreement is executed by all parties, I accept responsibility for the scientific, administrative and financial activities relative to my role under this Non-Financial Agreement/Project. I am responsible relative to my role under this Non-Financial Agreement/Project for executing it in accordance with University policies and Agreement requirements, including, as applicable, engagement with University compliance offices (e.g., related to human subject research, information security) as well as submission of required technical/progress reports in a timely and complete fashion.
<ul style="list-style-type: none"> I understand any false, fictitious or fraudulent statements or claims may subject me personally to criminal, civil or administrative penalties.

Compliance Questions

Please complete these compliance questions as they apply to this Agreement submission.

1. Does this work associated with this agreement involve the following:									
a.	Human Subjects	Yes No		Protocol No.		Approval Date		Expiration Date	
b.	Live Vertebrate Animals	Yes No		Protocol No.		Approval Date		Expiration Date	
c.	Biological Agents (including recombinant or synthetic nucleic acids)	Yes No		Registration No.		Approval Date		Expiration Date	
d.	Human Embryonic Stem Cells	Yes No		List Cell Lines					
2. Select if any of the following chemicals or select agents will be used for this project.									

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Data Security									
3. Does the project involve access to, use of, or generation of secure or regulated data sets (e.g. HIPPA, CUI, GDPR, etc.) among the project parties? Yes No If yes, please describe the data sets(s), including the controls that apply (if known).									
University Research Board Review Questions									
4. Is there a lab or facility space change required for this project? If yes, attach details. Yes No									
5. Does this project require Biosafety Level 3 (BSL-3) handling? Yes No <i>(BSL-3 means work with agents that may cause serious or potentially lethal disease through inhalation route exposure).</i>									
6. Do you anticipate acquiring any materials, equipment or information that is controlled under the International Traffic in Arms (ITAR)? <i>No ITAR controlled items or information may be brought onto Princeton's campus (including accessing ITAR-controlled information from Princeton networks or computers) without official University approval.</i> Yes No If yes, please enter the following:									
Manufacturer Name		Model Number							
Description of article or information being accessed									
7. During the course of the project, are you collaborating with, receiving funding from or traveling to any of these countries? (check all that apply).									
Cuba	Iran	Iraq	North Korea	Syria	Ukraine	Russia	Belarus	None of these	
8. Specific to this project, are you collaborating with or receiving funding from persons or organizations located in any of the countries listed below? (check all that apply). If so, please also ensure this relationship is described in the agreement document to be submitted to the sponsor.									
China	Russia	Saudi Arabia	None of these						
Export Control Questions									
9. Will any equipment (including fabricated), materials or supplies be exported (including hand-carrying) to another country by Princeton University? If yes, enter the following: Yes No									
Manufacturer Name		Model Number							
Description									
10. During the course of this project, do you anticipate receiving materials, equipment or information subject to the Export Administration Regulations (EAR), excluding those with a classification of EAR99, from the project sponsor or a third party? (See Export Control website for additional information). Yes No If yes, please describe the export-controlled materials, equipment or information that may be received, including the Export Control Classification Number (ECCN), if known.									
11. Do you anticipate working directly with any non-U.S. persons not currently employed by a U.S. institution in the course of this proposed project (e.g. exchanging information, equipment or materials)? Yes No If yes, complete the information below (attach a separate sheet if required) and add this information to Question 3B on the Personnel page of the Funding proposal, if applicable.									
First Name	Last Name	Employer	Country	Email					

Signature

Date