

Funding Proposal Compliance Checklist

This form may be completed and signed by the Principal Investigator and uploaded to the Funding Proposal *when an electronic Proposal Assurance is submitted (it is not required)*. The Princeton ERA Proposal Administrative contact must enter these responses onto the Compliance page prior to proposal submission. Download this form to your computer prior to completion.

Princeton ERA Funding Proposal Number

Principal Investigator Name

Proposal Long Title

As the Principal Investigator for the above referenced sponsored project proposal, I hereby delegate my departmental Administrative contact to transcribe the below proposal-specific compliance information into the Princeton ERA Funding Proposal record on my behalf. I attest that this compliance information is true and accurate to the best of my knowledge.

Compliance Questions									
<i>Please complete these compliance questions as they apply to this proposal submission. The Funding Proposal Administrative Contact must enter these responses onto the Funding Proposal Compliance page in Princeton ERA prior to proposal submission.</i>									
1. Does this work associated with this agreement involve the following:									
a.	Human Subjects	Yes	No		Protocol No.		Approval Date		Expiration Date
b.	Live Vertebrate Animals	Yes	No		Protocol No.		Approval Date		Expiration Date
c.	Biological Agents (including recombinant or synthetic nucleic acids)	Yes	No		Registration No.		Approval Date		Expiration Date
d.	Human Embryonic Stem Cells	Yes	No		List Cell Lines				
2. Select if any of the following chemical or select agents will be used for this project.									
Data Security									
3. Does the project involve access to, use of, or generation of secure or regulated data sets (e.g., HIPPA, CUI, GDPR, etc.) among the project parties?									
Yes No									

University Research Board Review Questions

4. Is there a lab or facility space change required for this project? If yes, attach details.		Yes	No
5. Does this project require Biosafety Level 3 (BSL-3) handling? <i>(BSL-3 means work with agents that may cause serious or potentially lethal disease through inhalation route exposure)</i>		Yes	No
6. Do you anticipate acquiring any materials, equipment or information that is controlled under the International Traffic in Arms (ITAR)? <i>No ITAR controlled items or information may be brought onto Princeton's campus (including accessing ITAR-controlled information from Princeton networks or computers) without official University approval.</i>		Yes	No
If yes, please enter the following:			
Manufacturer Name		Model Number	
Description of article or information being accessed			
7. During the course of the project, are you collaborating with, receiving funding from or traveling to any of these countries?			
Cuba	Iran	Iraq	North Korea
Syria	Ukraine	Russia	Belarus
None of these			
8. Specific to this project, are you collaborating with or receiving funding from persons or organizations located in any of the countries listed below? If yes, please also ensure this relationship is described in the proposal documentation to be submitted to the sponsor.			
China	Russia	Saudi Arabia	None of these

Export Control Questions

9. During the course of the project, do you anticipate receiving materials, equipment or information subject to the Export Administration Regulations (EAR), excluding those with a classification of EAR99, from the project sponsor or a third party? (See Export Control website for additional information)				
		Yes	No	
10. Will any equipment (including fabricated), materials or supplies be exported (including hand-carrying) to another country by Princeton University?				
If yes, please enter the following:				
Manufacturer Name		Model Number		
Description				
Do you anticipate working directly with any non-U.S. persons not currently employed by a U.S. institution in the course of this proposed project (e.g. exchanging information, equipment or materials)?				
If yes, complete information below (attach separate sheet if required) and add this information to Question 3B on the Personnel page of the Funding proposal.				
First Name	Last Name	Employer	Country	Email

Signature

Date