

This form must be submitted and uploaded with each Grants Module Funding Proposal where the electronic Proposal Assurance is not possible to submit. This form must be completed by PI, co-PI, key personnel, and fellows/students (fellowships only). Download this form to your computer prior to completion.

As the _____ I certify to the best of my knowledge:

<ul style="list-style-type: none"> Relative to my role on this proposal, I am responsible for the completeness of the information included in this Princeton ERA record and certify that the information herein is true and accurate. If I am the lead PI, I further certify that I am responsible for this proposal in its entirety. 									
<ul style="list-style-type: none"> I am not debarred, suspended or proposed for debarment or suspension, declared ineligible or otherwise excluded from receiving federal monies by any agency of the United States Government. 									
<ul style="list-style-type: none"> My required annual University Conflict of Interest (COI) disclosure is accurate, complete and updated. I understand I am obligated to report in a timely fashion any changes to such disclosure in accordance with University and sponsor policies. This disclosure includes an accurate, complete and timely representation of any participation I have in a foreign government talent recruitment program (FGTRP), understanding that such participation may make me ineligible to propose or receive federal funding, consistent with Section 10632 of the CHIPS and Science Act, the latest National Science Foundation Proposal and Award Policies and Procedures Guide, or other sponsor guidance. I also understand that I may need to provide copies of my outside foreign agreements to the University and/or federal sponsors in the course of applying for or managing federal funding. 									
<ul style="list-style-type: none"> I have reviewed the proposal and confirm that it includes my required disclosures related to appointments/affiliations (domestic and foreign) as well as current and pending support/other support (domestic and foreign), if and when applicable. I understand I am obligated to report in a timely fashion any changes to such disclosures in accordance with University and sponsor policies. 									
<ul style="list-style-type: none"> I have reviewed and accept the University and sponsor requirements and policies applicable to this project. If an award is made as a result of this application, I accept responsibility for the scientific, administrative and financial activities relative to my project role under this project, and for executing the project in accordance with University and sponsor policies, including submission of required technical/progress reports in a timely and complete fashion. 									
<ul style="list-style-type: none"> I understand that the University must comply with the sponsor's policies and procedures, which may include reporting to the sponsoring agency information relating to an investigation, administrative action or any finding/determination or a violation of the University's or the sponsor's policies relating to discrimination and/or harassment or research misconduct. 									
<ul style="list-style-type: none"> Any false, fictitious or fraudulent statements or claims may subject me personally to criminal, civil or administrative penalties. 									
Compliance Questions									
<i>Please complete these compliance questions as they apply to this proposal submission. The Funding Proposal Administrative Contact must enter these responses onto the Funding Proposal Compliance page in Princeton ERA prior to proposal submission.</i>									
1. Does this work associated with this agreement involve the following:									
a.	Human Subjects	Yes	No	Protocol No.		Approval Date		Expiration Date	
b.	Live Vertebrate Animals	Yes	No	Protocol No.		Approval Date		Expiration Date	
c.	Biological Agents (including recombinant or synthetic nucleic acids)	Yes	No	Registration No.		Approval Date		Expiration Date	
d.	Human Embryonic Stem Cells	Yes	No	List Cell Lines					
2. Select if any of the following chemical or select agents will be used for this project.									
Data Security									
3. Does the project involve access to, use of, or generation of secure or regulated data sets (e.g., HIPPA, CUI, GDPR, etc.) among the project parties? Yes No If yes, please describe the secure or regulated data set(s), including the controls that apply.									

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University Research Board Review Questions			
4. Is there a lab or facility space change required for this project? If yes, attach details.		Yes	No
5. Does this project require Biosafety Level 3 (BSL-3) handling? <i>(BSL-3 means work with agents that may cause serious or potentially lethal disease through inhalation route exposure)</i>		Yes	No
6. Do you anticipate acquiring any materials, equipment or information that is controlled under the International Traffic in Arms (ITAR)? <i>No ITAR controlled items or information may be brought onto Princeton's campus (including accessing ITAR-controlled information from Princeton networks or computers) without official University approval.</i>			
If yes, please enter the following:		Yes	No
Manufacturer Name		Model Number	
Description of article or information being accessed			
7. During the course of the project, are you collaborating with, receiving funding from or traveling to any of these countries?			
Cuba	Iran	Iraq	North Korea
Syria	Ukraine	Russia	Belarus
None of these			
8. Specific to this project, are you collaborating with or receiving funding from persons or organizations located in any of the countries listed below? If yes, please also ensure this relationship is described in the proposal documentation to be submitted to the sponsor.			
China	Russia	Saudi Arabia	None of these

Export Control Questions				
9. During the course of the project, do you anticipate receiving materials, equipment or information subject to the Export Administration Regulations (EAR), excluding those with a classification of EAR99, from the project sponsor or a third party? (See Export Control website for additional information) Yes No If yes, please describe the export-controlled materials, equipment or information that may be received, including the Export Control Classification Number (ECCN), if known.				
10. Will any equipment (including fabricated), materials or supplies be exported (including hand-carrying) to another country by Princeton University? If yes, please enter the following: Yes No				
Manufacturer Name		Model Number		
Description				
Do you anticipate working directly with any non-U.S. persons not currently employed by a U.S. institution in the course of this proposed project (e.g. exchanging information, equipment or materials)? If yes, complete information below (attach separate sheet if required) and add this information to Question 3B on the Personnel page of the Funding proposal.				
First Name	Last Name	Employer	Country	Email

Signature

Date