Coffee with ORPA: What’s New and Different?

January 21st, 2021

Presenters:
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Kristy Holmes, F&T
Courtney Kohut, ORPA
Lisa Lonstein, ORPA
Paula Looney, ORPA
Agenda

- Research Funding Gateway
- Princeton ERA Updates
- PI Assurances in Princeton ERA
- Sponsored Research Dashboard Improvements
- NSF Update
- NIH Update
- Total Professional Effort
- International Hiring
- Q&A
Research Funding Gateway
Coleen Burrus and Mike Hodges
…..a curated database of research funding opportunities selected for the University community – hosted by the Dean for Research.
Princeton ERA Updates
Kyle Burkhardt
Princeton ERA Updates

▶ Go live: 8/31/2020
▶ 330 agreements created, 160 activated
▶ 630 proposals created, 474 submitted to sponsor
▶ New + updated guides + videos
New Quick Guides

› Tips and Tricks Quick Guide
  › Searching, Princeton ERA email, copying
› Princeton ERA States Quick Guide
› Grants vs Agreements Quick Guide
› Multi-Prong Quick Guide
Budgets

- Updated Effort Distribution Tool now includes:
  - Number of budget months
  - Blended salary tab

- New Budget FAQ + updated Budget Guide includes:
  - Formulas for how Princeton ERA calculates personnel budget amounts
  - How Princeton ERA applies inflation
S2S

- Updated S2S Guide includes
  - Opportunity-specific instructions for NIH K, F, and DP opps
  - Detailed steps for adding subawards
- New S2S video series
  - Uses NIH R01 with a detailed budget and a sub as an example
  - Separate videos for NIH F, K, DP, modular budgets, renewals, revisions, resubmissions
Ancillary Reviews

- Updated Quick Guides for Proposals + Agreements
  - Adding ancillary reviews
  - Responding to ancillary reviews

- Updated videos
  - Adding electronic PI assurances
  - Adding paper PI assurances
  - Adding additional ancillary reviews
Need help with Princeton ERA?

Email erasupport@princeton.edu
PI Assurances in Princeton ERA
Zack Davis
PI Assurances

- PIs have the ability to electronically sign off on their Ancillary Reviews in Princeton ERA
  - Less offline communication needed; system generated emails
  - Assurances can easily be tracked for metrics
  - Can be approved on phone

- We have had about 64% of Faculty completing the Assurance in Princeton ERA thus far
  - 699 Assurances in total
  - 444 signed off on by Faculty
  - Understood that not all PIs will be amenable to this

- A quick guide and video are available for PIs on how to submit their Assurances in Princeton ERA
## PI Assurance Metrics

<table>
<thead>
<tr>
<th>Department</th>
<th>Faculty</th>
<th>Total</th>
<th>Percentage</th>
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</thead>
<tbody>
<tr>
<td>Astrophysical Sciences</td>
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<td>49</td>
<td>100%</td>
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<tr>
<td>High Meadows Environmental Institute</td>
<td>22</td>
<td>22</td>
<td>100%</td>
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<tr>
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<td>27</td>
<td>93%</td>
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<tr>
<td>Electrical Engineering</td>
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<td>37</td>
<td>84%</td>
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<tr>
<td>Chemical and Biological Engineering</td>
<td>27</td>
<td>33</td>
<td>82%</td>
</tr>
</tbody>
</table>
Sponsored Research Dashboard Improvements
Lisa Lonstein
Dashboard Country Views

This Sponsored Research Dashboard enhancement shows details of proposals submitted, proposals awarded, and expenditures by country.

When there is a pass-through sponsor, the country displayed is the country of the pass-through sponsor, otherwise the country of the sponsor is displayed.

For expenditures, the country displayed represents the source of funding, not the country in which the expenditure was made.
Country Displays

There are multiple views including a colorful world map and details by division, department, and PI, etc. On the dashboard, there is a drop down menu of available views. For example, here are the choices of country views for the proposals Unit View dashboard:

- Country Map
- Country List
- Country and Proposal Dept
- Proposal Dept and Country
- Country and Sponsor Granular
- Country and PI
- Country by Proposal Dept and PI
- PI by Country and Sponsor Granular
- PI Home Dept by Country and Sponsor Granular
There is an informational icon as well as drop-down lists as well as filtering slots for the user.
National Science Foundation Updates
Courtney Kohut
Significant Changes
Implemented as of October 5, 2020

Biographical Sketch
- NSF Template or SciENcv
- More flexibility with SciENcv
- List all outside appointments, especially foreign
- Appointment should align with COA document
- Synergistic Activities – cannot include multiple examples to further explain an activity as per PAPPG.

Current & Pending
- NSF Template or SciENcv
- Should include any external startup funds, internal competitive research funding
- Any outside appointments on the biosketch should reflect committed effort on the C & P
- Effort should be included on anything cited – if no effort, the award should be removed.

ORPA Biographical Sketch | Current & Pending Checklist
NSF Current & Pending FAQ
Significant Changes

Research Performance Progress Report (RPPR):
- Submission via Research.gov
- Annual reports are required for grants and cooperative agreements
- Final and project outcome reports are necessary for continuing grants, cooperative agreements and fellowships
- Interim project reports: submitted any time before the submission of the final project report.

Submit changes of significance that occur during a project either via an interim report, or at the next annual report. This is especially important for new foreign appointments/affiliations.

NSF RPPR Website
NSF RPPR FAQ
Significant Changes

- EAGER and Rapid proposals require written program officer approval to be uploaded with proposal docs
- Intellectual Merit section is no longer required in the project description
- Research.gov is expanding the types of proposals that may be submitted

HELPFUL LINKS

- NSF Policy Office
- PAPPG FAQ
- COA FAQ
- Prior Approval Matrix
- Research.gov – About Proposal Preparation and Submission

  - If a proposal file update is needed prior to a deadline, the PI must allow access for this to be completed.
NIH Updates
Chi B. Chung
NIH: Updates to Format and Instruction Pages for Biosketch

Biosketch

➢ Section B: Will update title to capture all scientific appointments, foreign or domestic, paid or unpaid

➢ Section D: Will remove Research Support and move it to Other Support (Will keep Scholastic Performance for fellowships)

➢ Still 5 pages

Biosketch Mock-Up– Non-Fellowship Format Page

CURRENT FORMAT (as of July 2020)

Mock-up with Proposed Changes (in red)

NAME

A. Personal Statement

B. Positions and Honors

C. Contributions to Science

D. Additional information: Research Support and/or Scholastic Performance

NAME

A. Personal Statement

B. Positions, Scientific Appointments, and Honors

C. Contributions to Science

Still 5 pages
NIH: Updates to Format and Instruction Pages for Other Support

Other Support

- Will be more structured and formatted similar to NSF’s C&P form
- Will have 2 sections – Projects/Proposals & In-Kind
- Will clarify definition of gifs and in-kind support
- Will request completed projects (formerly captured on Bio)
- Will require copies of contracts related to foreign appointments/employment with foreign institutions for all foreign activities/resources reported on Other Support
- Still required at JIT
NIH: Other Updates

- Notice of Award changes to first page (effective 10/1/20). (NOT-OD-20-155)
- Administrative supplements have to be electronically submitted through grants.gov. (NOT-OD-30-128)
- If there is a change in key personnel that relates to unsafe/unhealthy work environment, the NIH now requires explanation in prior approval request. (NOT-OD-20-124)
- Prohibition on using NIH grant funds for covered telecommunication equipment or services. (NOT-OD-21-04)
- Extension of special exception to post-submission materials policy during COVID for proposals submitted for August/October 2021 Councils. (NOT-OD-20-179)
- Temporary/extension of eligibility for K99/R00 award through Feb./March 2021. (Provides for 8 additional months of eligibility) (NOT-OD-20-158)
NIH: Resources/Reminders

What’s New
- NIH table with examples of what to disclose (https://grants.nih.gov/policy/protecting-innovation.htm)

What’s The Same
- NOT-OD-19-114- Reminder on NIH policies on Other Support, FCOI, and foreign component
- Other Support – FAQ (Same for Other Support)(https://grants.nih.gov/faqs/#/other-support-and-foreign-components.htm)
- GPS dated 12/2019 (Anticipate new GPS in few weeks)
- Same salary limit and NRSA stipend levels, tuition, and fees (New notices expected soon. Salary limit will increase to $199,300.)

Reminders
- Effort on proposal should be reflected in Princeton’s ERA system.
- Faculty should be current with CITI Training & COI disclosures so awards are not held up.
- Long term remote work abroad should be disclosed to NIH as soon as known.
- Prior approval for foreign components is required prior to the fact so coordinate and plan with ORPA early.
- Do not include hyperlinks or URLs in proposals unless requested in FOA. (NOTOD-20-174)
Total Professional Effort
Paula Looney

- Teaching Admin
- Research
- Summer Salary
- Other
Different Types of Appointments

- 9 month appointments (tenure/tenure track)
  - Faculty Appointments
    - Academic Year time paid by the University
    - Summer salary up to 2.5 months

- 12 month appointments
  - Professional Research Staff
    - Senior Research Scholar
    - Research Scholar
    - Associate Research Scholar
  - Typically paid with external sources
  - May be paid with internal sources

- Full Time Lecturers
- Instructors
- Emeritus
- Others: PI Status
9 Month Appointments

- 2.5 Month Summer Effort
  - Internally funded (limited to 2 months)
  - Externally funded

- 9 Month Academic Year
  - Commitments to sponsored research programs normally may not entail more than 50 percent of a faculty member's duty time during the academic year and may not include any reduction of teaching, advising or service responsibilities.
12 Month Appointments

- Effort is apportioned over the 12 month appointment to align with the time connected to the work.
- Often ties to specific time commitments or projects:
  - Work on non-funded projects can create conflicts.
  - Extensions without funding the continued effort can create conflicts of time.
Available Effort and Total Professional Time

- Effort commitments are tracked for key persons
  - Committed to sponsor
  - Completed by the PI/Key Person
  - Certified by the PI

- Internal and External commitments can impact total professional effort
- All time commitments are reported to sponsors as part of total professional effort
Why is This Important?

- Conflict of commitments
- Limited overall available time
- Overlap
- Fulfillment of promises of time made to external sponsors
- Teaching and Administrative Duties require effort

CWO: 2/23/2021
Proposing and Monitoring Key Personnel Effort Commitments