Coffee with ORPA:
NIH and NSF Updates

April 15, 2021

Presenters:
Davina Brittingham
Teresa D’Artagnan
Francine Taylor
Maureen Thompson-Siegel
NIH Updates
Changes to the Notice of Award

▶ On July 31, 2020, NOT-OD-20-155 was released.

▶ The notice announces the upcoming changes to the format of the National Institutes of Health (NIH) Notice of Award (NoA). The Department of Health and Human Services (DHHS) developed a standard page one to be used across DHHS effective October 1, 2020.

▶ The majority of the changes will affect the appearance of page one of the NoA. There will be four sections (see the NOT-OD-20-155 for details):
  ▶ Award Data
  ▶ Recipient Information
  ▶ Federal Agency Information
  ▶ Federal Award Information

▶ NIH NoAs will continue to use the following sections, following page one:
  ▶ Section I – Award Data
  ▶ Section II – Payment Information
  ▶ Section III/IV – Terms and Conditions of Award
## New Notice of Award

### Office of Research and Project Administration
Guidance on Salary Limitation for Grants and Cooperative Agreements

On January 29, 2021, NOT-OD-21-057 was released.

Effective as of January 3, 2021, the 2021 Consolidated Appropriations Act increased the EL II Salary Cap Limitation increased from $197,300 to $199,300.

For issued awards that were restricted to Executive Level II, including competing awards already issued in FY2021, if adequate funds are available in active awards, and if the salary cap increase is consistent with the institutional base salary...

*Recipients may re-budget funds to accommodate the current Executive Level II salary level.*
Childcare Costs for NRSA Individual Fellows

On March 15, 2021, NOT-OD-20-069 was released and quickly rescinded for corrections by the NIH. It was replaced by NOT-OD-21-074. The new notice corrects the instructions for new and administrative supplement applications.

Per the corrected instructions, full-time supported NRSA applicants must include the childcare cost request for the first year (i.e. $2,500 for 12 months) on the PHS Fellowship Supplemental Form and enter NIH as the Source.

Any additional years requested must be included in an attachment uploaded in the Other Attachments section of the R&R Other Project Information form.

- Childcare costs must be provided by a licensed childcare provider.
- Childcare costs are permitted for dependent children living in the fellow’s home from birth through under the age of 13, or children who are disabled and under age 18.
- Recipients must maintain all supporting documentation and make available to NIH upon request.
- Childcare costs are generally restricted and cannot be re-budgeted.
Extended Guidance for Preparing Applications During COVID-19 Pandemic

On November 4, 2020, NOT-OD-21-026 was released.

NOT-OD-21-026 informs NIH applicants that the guidance for applicants preparing applications during the COVID-19 pandemic (NOT-OD-20-122) is extended until further notice.

NIH grant applications should NOT include contingency plans that would outline steps needed to recover from temporary, emergency situations, or institutional return-to-the-workplace plans, resulting from the COVID-19 pandemic. Such contingency plans will not be reviewed during the peer review process.

If needed, COVID-19 contingency plans will be requested and carefully considered by NIH staff before funding.

Temporary, emergency situations due to the COVID-19 pandemic will not affect review scores. Example situations include, but are not limited to:

- Temporary declines in productivity
- Availability of key personnel
- Proposed patient populations
- Animal facility shutdowns

Please direct all inquiries to: ReviewPolicyOfficer@mail.nih.gov
Policy on Use of Hypertext in Grant Applications

On September 16, 2020, NOT-OD-20-174 was released.

NOT-OD-20-174 reminds the NIH applicants about the guidance that restricts the use of hypertext (e.g. hyperlinks and URLs) in NIH grant applications.

Use of unallowable hyperlinks and URLs raise the following concerns:

- **Reviewer Confidentiality**: Sophisticated web-based tracking systems may allow identification of reviewers who attempt to access the link.
- **Overstuffing**: All materials required for review must be contained within the page limits of the application. Linking out to additional information may exceed the content limitations of the application.
- **Review Consistency**: some reviewers may consider hyperlinked materials while others may not, which may create inconsistency among reviewers.
- **Malware**: The possibility exists for malware or other breaches to occur on the reviewer’s computer and/or system.
Policy on Use of Hypertext in Grant Applications

As a reminder per the SF424 (R&R) Application Guide:

- Hyperlinks and URLs are only allowed if specified in the funding opportunity announcement (FOA) and form field instructions where it is typically limited to citing relevant publications in biosketches and publication lists.
- It is highly unlikely for a FOA to allow links in Specific Aims, Research Strategy, and other page-limited attachments.
- Hyperlinks and URLs may not be used to provide information necessary to application review.
- Reviewers are instructed against viewing linked sites and are cautioned that they should not directly access a website unless the link was specifically requested in the application instructions.
- When allowed, the actual URL text should appear on the page rather than being hidden behind a word or phrase.
- Applications that do not follow this guidance may be withdrawn from review and funding consideration.

Direct inquiries to GrantsPolicy@od.nih.gov.
Reminders of Policies Related to Closeout


- NIH reminds recipients of the deadlines for drawing funds from the Payment Management System (PMS). A recipient may draw funds up to the date that its Final Federal Financial Report (FFR) is due to NIH or up to 120 days past the period of performance end date.

- Recipients must submit a final FFR, Final Research Performance Progress Report (RPPR), and Final Invention Statement and Certification within 120 calendar days of the end of the period of performance.

- In the event that additional time is needed to fulfill the closeout requirements (e.g. prepare, revise, or amend financial reports), a no-cost extension should be requested.

- If a recipient needs to revise or amend previously submitted expenditures more than 120 days past the period of performance end date in order to revise a previously submitted FFR, the AOR must notify the IC Grants Management Specialist listed in the NoA for additional guidance.

- Grants policy questions should be directed to grantspolicy@nih.gov
On January 22, 2021, NOT-OD-21-053 was released. It provides updated guidance on enhancing the diversity of conferences supported by (under the R13 and U13 activity codes). It replaces previous guidance in NOT-OD-03-066 Guidelines For Inclusion Of Women, Minorities, And Persons With Disabilities In NIH-Supported Conference Grants. It also reiterates the expectation that organizers of NIH-supported conferences maintain inclusive, safe, and respectful environments for all attendees and provides examples of strategies to accomplish this expectation.
Enhancing Diversity and Creating Safe Environments in Conferences

- It reiterates the expectation that organizers of NIH-supported conferences maintain inclusive, safe, and respectful environments for all attendees and provides examples of strategies to accomplish this expectation.

- R13/U13 applicants must include plans to enhance diversity
  - Organizing committees
  - Speakers
  - Session chairs and panel discussants
  - Attendees.

- Plans to enhance diversity will be assessed during the scientific and technical merit review of the application. Though the proposed plans will not be scored individually, they will be considered in the overall impact score.

- Conference grant awardees will be required to report on the effectiveness of plans to enhance diversity of underrepresented groups in annual and final RPPRs.
Enhancing Diversity and Creating Safe Environments in Conferences

Conferences must seek to prevent or mitigate the effects of discrimination and harassment, sexual and otherwise.

Strategies include:

- Establishing a conference code of conduct with clearly stated:
  - expectations of behavior,
  - systems of reporting,
  - and procedures for addressing inappropriate behavior.

- Providing resources to support individuals who report incidents of harassment, including:
  - personnel trained in advocacy and counseling
  - referrals to legal or health care resources
  - procedures for ensuring the safety of all conference attendees, up to and including removing a perpetrator from the conference

- Conducting conference climate surveys specifically related to sexual harassment and professional misconduct

Conferences must be held at accessible sites, in accordance with the ADA.
DATA MANAGEMENT AND SHARING PLANS
Data Management and Sharing (DMS) Plans

On October 29, 2020, NOT-OD-21-013 was released.

New policy goes into effect on January 25, 2023, replacing the 2003 policy, and applies to research funded or conducted by NIH that results in the generation of scientific data, including:

- Competing grant applications that are submitted to NIH for the January 25, 2023 and subsequent receipt dates;
- Proposals for contracts that are submitted to NIH on or after January 25, 2023;
- NIH Intramural Research Projects conducted on or after January 25, 2023; and
- Other funding agreements (e.g., Other Transactions) that are executed on or after January 25, 2023, unless otherwise stipulated by NIH.

It reinforces NIH’s longstanding commitment to making the results and outputs of NIH-funded research available to the public through effective and efficient data management and data sharing practices.

Data sharing enables researchers to rigorously test the validity of research findings, strengthen analyses through combined datasets, re-use hard-to-generate data, and explore new frontiers of discovery.
Data Management and Sharing (DMS) Plans

- The DMS Policy does not apply to research and other activities that do not generate scientific data, including training, infrastructure development, and non-research activities.
- It emphasizes the importance of good data management practices, which provide the foundation for effective data sharing and improve the reproducibility and reliability of research findings.
- Under the DMS Policy, NIH requires researchers to prospectively plan for how scientific data will be preserved and shared through submission of a DMS Plan.
- Upon NIH approval of a Plan, NIH expects researchers and institutions to implement data management and sharing practices as described.
- The DMS Policy is intended to establish expectations for Data Management and Sharing Plans, which applicable NIH Institutes, Centers and Offices (ICO) may supplement as appropriate.
DMS Requirements

› Submission of a DMS Plan outlining how scientific data and any accompanying metadata will be managed and shared, taking into account any potential restrictions or limitations.

› Compliance with the awardee’s plan as approved by the NIH, who may request additional or specific information to be included within the Plan in order to meet expectations for data management and data sharing in support of programmatic priorities or to expand the utility of the scientific data generated from the research.

› Costs associated with data management and data sharing may be allowable under the budget for the proposed project. Information to be included as a part of the Budget Justification section of the application.

› DMS Plans will be required as a part of the submission of an application – limited to two (2) pages.

› NIH encourages the use of established data repositories to the extent possible. Data should be made accessible as soon as possible, and no later than the time of an associated publication, or the end of a performance period, whichever comes first.
DMS Requirements

- Submission of a DMS Plan outlining how scientific data and any accompanying metadata will be managed and shared, taking into account any potential restrictions or limitations.

- Compliance with the awardee’s plan as approved by the NIH, who may request additional or specific information to be included within the Plan in order to meet expectations for data management and data sharing in support of programmatic priorities or to expand the utility of the scientific data generated from the research.

- Costs associated with data management and data sharing may be allowable under the budget for the proposed project. Information to be included as a part of the Budget Justification section of the application.

- DMS Plans will be required as a part of the submission of an application – limited to two (2) pages.

NIH encourages the use of established data repositories to the extent possible. Data should be made accessible as soon as possible, and no later than the time of an associated publication, or the end of a performance period, whichever comes first.
DMS – Compliance and Enforcement

During the funding period:
- Compliance with the Plan will be determined by the NIH. Compliance with the Plan, including any Plan updates, may be reviewed during regular reporting intervals (e.g., at the time of annual RPPRs).

Post funding period:
- After the end of the funding period, non-compliance with the NIH-approved Plan may be taken into account by NIH for future funding decisions for the recipient institution (e.g., as authorized in the NIH Grants Policy Statement, Section 8.5, Special Award Conditions, and Remedies for Noncompliance (Special Award Conditions and Enforcement Actions)).

What is Login.gov?

- A shared service authentication provider managed by General Services Administration (GSA).
- With one login.gov account, users can sign into multiple government agency systems while taking advantage of login.gov’s two-factor authentication capabilities that ensure the security of their personal information.
Login.gov

Why now?

- It is part of HHS’s Reinvent Grants Management (RGM) Initiative.
- Provides the applicant and grantee community the ability to log in to four different grants systems using the same user name and password (eRA, Grants.gov, GrantSolutions and Payment Management System).
- Goal is to eliminate duplication, waste, and reduce the burden on grantor and grant recipients.
- Login.gov uses two-factor authentication and stronger passwords that meet new National Institute of Standards and Technology (NIST) requirements for secure validation and verification.
Login.gov – What’s Next

➤ By September 15, 2021 all external users will be required to use login.gov when logging into eRA systems.
  > This applies to all users of eRA Commons, Commons Mobile and ASSIST.

➤ Switch Now! Before the mandatory deadline.

➤ Users may only have one login.gov account associated with one eRA account. Users with multiple accounts should review the FAQs.

➤ NIH has prepared step-by-step instructions, FAQ’s, video tutorials and more.

Upcoming Changes to the NIH Biosketch Due Dates on or After May 25, 2021

NOT-OD-21-073
Upcoming Changes to the NIH Biosketch
Due Dates on or After May 25, 2021

In an effort to support strong collaboration between Federal research agencies, NIH has made every effort to align:

- the Biographical Sketch (Biosketch),
- Other Support format page and
- Application Form Instructions

with the guidance issued by the Office of Science and Technology Policy (OSTP) Joint Committee on the Research Environment.

The forms updates do not change the policies for Biosketch or Other Support.

The updated forms and instructions will be required for applications and RPPR submitted for due dates on or after May 25, 2021.
Biosketch Changes

Non-Fellowship Biosketch

› Section B “Positions and Honors”
  › Renamed “Positions, Scientific Appointments and Honors”

› Section D is deleted
  › “Additional Information: Research Support and/or Scholastic Performance”

Fellowship Biosketch

› Section D has been updated to remove “Research Support.” Now called “Scholastic Performance.”

› As applicable, all applicants may include details on ongoing and completed research projects from the past three years that they want to draw attention to within the personal statement, Section A.
Upcoming Changes to the NIH Biosketch Due Dates on or After May 25, 2021

Specific changes are below, in bold italics. As a normal matter of business, NIH will incorporate the changes into the NIH Application Form Instructions within the next forms update by FY 2022.

A. Personal Statement updated to read:

Briefly describe why you are well-suited for your role(s) in this project. Relevant factors may include: aspects of your training; your previous experimental work on this specific topic or related topics; your technical expertise; your collaborators or scientific environment; and/or your past performance in this or related fields, including ongoing and completed research projects from the past three years that you want to draw attention to (previously known as research support).
Upcoming Changes to the NIH Biosketch
Due Dates on or After May 25, 2021

▷ Positions, **Scientific Appointments**, and Honors updated to read:
  
  - List in **reverse** chronological order all positions and scientific appointments both domestic and foreign, including affiliations with foreign entities or governments. This includes titled academic, professional, or institutional appointments whether or not remuneration is received, and whether full-time, part-time, or voluntary (including adjunct, visiting, or honorary).
  
  - High school students and undergraduates may include any previous positions.
  
  - For individuals who are not currently located at the applicant organization, include the expected position at the applicant organization and the expected start date.
Upcoming Changes to the NIH Other Support Due Dates on or After May 25, 2021

For New and Renewal Applications – DO NOT SUBMIT UNLESS REQUESTED
PHS 398 OTHER SUPPORT

There is no “form page” for reporting Other Support. Information on Other Support should be provided in the form shown below:

*Name of Individual
Commons ID:

*Title:
Major Goals:
*Status of Support:
Project Number:
Name of P01:
*Source of Support:
*Primary Place of Performance:
Project/Proposal Start and End Date: (MM/YYYY) (if available):
* Total Award Amount (including Indirect Costs):
* Person Months (Calendar/Academic/Summer) per budget period:

<table>
<thead>
<tr>
<th>Year (YYYY)</th>
<th>Person Months (Calendar/Academic/Summer)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>5</td>
</tr>
</tbody>
</table>

*Estimated Dollar Value of In-Kind Information:

*Summary of In-Kind Contribution:
*Status of Support:
*Primary Place of Performance:
Project/Proposal Start and End Date: (MM/YYYY) (if available):
*Person Months (Calendar/Academic/Summer) per budget period:

*Overap (summarized for each individual)

I, [PD/PI or other senior/key personnel], certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

*Signature
Date:
Upcoming Changes to the NIH Other Support Due Dates on or After May 25, 2021

- The format page has been re-organized to separate funded projects from in-kind contributions.
- **Signature block added**, for Program Director/Principal Investigator or Other Senior/Key Personnel to certify the accuracy of the information submitted.
- Each PD/PI or senior/key personnel must electronically sign their respective Other Support form as a PDF prior to submission.

**Supporting Documentation:** Other Support submissions that include foreign activities and resources, recipients are required to submit copies of contracts, grants or any other agreement specific to senior/key personnel foreign appointments and/or employment with a foreign institution as supporting documentation.

- If they are not in English, recipients must provide translated copies.
- Cost for translation cannot be submitted as a direct cost to the project.
- This supporting documentation must be provided as part of the Other Support PDF following the Other Support Format page.
Upcoming Changes to the NIH Other Support Due Dates on or After May 25, 2021

- **Other Support** includes all resources made available to a researcher in support of and/or related to all of their research endeavors, regardless of whether or not they have monetary value and regardless of whether they are based at the institution the researcher identifies for the current grant. This includes but is not limited to:
  - Resources and/or financial support from all foreign and domestic entities that are available to the researcher, including but not limited to:
    - Financial support for laboratory personnel, and provision of high-value materials that are not freely available (e.g., biologics, chemical, model systems, technology, etc.).
    - Institutional resources, such as core facilities or shared equipment that are made broadly available, **should not be included in Other Support**, but rather listed under Facilities and Other Resources.
  - Consulting agreements, when the PD/PI or other senior/key personnel will be conducting research as part of the consulting activities. Non-research consulting activities are not Other Support.
Upcoming Changes to the NIH Other Support Due Dates on or After May 25, 2021

- In-kind contributions, e.g. office/laboratory space, equipment, supplies, or employees or students supported by an outside source. If the time commitment or dollar value of the in-kind contribution is not readily ascertainable, the recipient must provide reasonable estimates.

Immediate notification of undisclosed Other Support:

- When a recipient organization discovers that a PI or other Senior/Key personnel on an active NIH grant failed to disclose Other Support information outside of Just-in-Time or the RPPR, as applicable, the recipient must submit updated Other Support to the Grants Management Specialist named in the Notice of Award as soon as it becomes known.
Biosketch and Other Support Resources

» Updated Fellowship and Non-Fellowship Biosketch resources:
  » [https://grants.nih.gov/grants/forms/biosketch.htm](https://grants.nih.gov/grants/forms/biosketch.htm)
  » Includes instructions, FAQs, samples and access to blank form
  » Try SciENcv to help you develop your biosketch and automatically format it according to NIH requirements.

» Updated Other Support resources:
  » [https://grants.nih.gov/grants/forms/othersupport.htm](https://grants.nih.gov/grants/forms/othersupport.htm)
  » Includes instructions, FAQs, samples and access to blank form.
NSF Topics

 › Disclosures clarifications
   › SciENcv
   › Biographical Sketch and Current & Pending
   › Collaborators and Other Affiliations (COA)
   › Post-Award Disclosures
   › RPPR Disclosures
   › International Collaborations on Major Facilities

 › Research.gov Updates

 › General Reminders
   › Proposal Preparation Requirements
   › Responsible Conduct of Research (RCR)
   › Late Reporting and FAPIIS
   › NSF OIG Audit
Disclosure Clarifications: SciENcv

- Helps researchers assemble the professional information needed for participation in federally funded research.
  - Eliminates the need to repeatedly enter biosketch information.
  - Reduces the administrative burden associated with federal grant submission and reporting requirements.
  - Provides access to a researcher-claimed data repository with information on expertise, employment, education, and professional accomplishments.
  - Allow researchers to describe their scientific contributions in their own language.

- Preferred method as the biosketch format can be utilized across federal agencies.
SciENcv Biosketch Example

| NAME: | [Redacted] |
| ORCID: | [Redacted] |
| POSITION TITLE & INSTITUTION: | Assistant Professor, Princeton University |

### NSF BIOGRAPHICAL SKETCH

#### (a) PROFESSIONAL PREPARATION

<table>
<thead>
<tr>
<th>INSTITUTION</th>
<th>LOCATION</th>
<th>MAJOR / AREA OF STUDY</th>
<th>DEGREE</th>
<th>YEAR</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ecole Normale superieure</td>
<td>Paris, Ile-de-France</td>
<td>Physics</td>
<td>MSC</td>
<td>2010</td>
</tr>
<tr>
<td>Université Sorbonne Paris Cité</td>
<td>Paris, Ile-de-France</td>
<td>Physics</td>
<td>PhD</td>
<td>2013</td>
</tr>
<tr>
<td>UCSD Scripps Institution of Oceanography</td>
<td>San Diego, CA</td>
<td>Ocean Sciences / Fluid Mechanics</td>
<td>N/A</td>
<td>2016</td>
</tr>
</tbody>
</table>

#### (b) APPOINTMENTS

2013 - 2016: Post-Doctoral researcher, University of California San Diego Scripps Institution of Oceanography, La Jolla, CA.

2010 - 2013: PhD student and Teaching Assistant, Université Sorbonne Paris Cité, Matiere et Systèmes Complexes Lab. Paris, Ile-de-France.

#### (c) PRODUCTS

**Products Most Closely Related to the Proposed Project**


**Other Significant Products, Whether or Not Related to the Proposed Project**


### SYNERGISTIC ACTIVITIES

1. 2020: Organizer and chair of a session on air-sea interaction (Wave breaking in ocean-atmosphere interactions) at the American Geophysical Union (AGU-ASLO) Ocean-Sciences Meeting, San Diego, in Feb 2020.


3. Teaching a graduate course in Applied Mathematics for Engineering, Instabilities in fluids, and an undergraduate course for non-science major on environmental and climate sciences.

4. Member of the American Physical Society and the American Geophysical Union.

5. Member of the High Meadows Environmental Institute graduate committee, the Mechanical and Aerospace Engineering graduate committee, co-chair of the climate and inclusion committee of the Mechanical and Aerospace Engineering department, and undergraduate upper-class advisor for the MAE department.
Disclosure Clarifications: Biographical Sketch

- The biographical sketch is used to assess the capability of the individual to carry out the proposed research.

- The Appointments section must include any titled academic, professional, or institutional position whether paid or unpaid.
  - Senior personnel must identify all current domestic or foreign “professional appointments” outside of the individual’s academic, professional, institutional appointments at the proposing organization.
    - This includes:
      - Honorary Appointments

- Synergistic Activities
  - Must be specific and may not include multiple examples to describe a single activity.
Disclosure Clarifications: Current and Pending

- NSF uses the information to assess the capacity of the individual to carry out the research as proposed as well as to help assess any potential overlap/duplication.
- Information must be provided for all current and pending support irrespective of whether such support is provided through the proposing organization or directly to the individual.
  - Unbudgeted effort for the proposal should be captured in the Current and Pending.
- Project or in-kind contributions without a time commitment are not required to be reported in Current and Pending Support.

As a follow-up to the CWO on Personnel Effort Commitments, ORPA and SRA are partnering to offer additional individual workshops.
Disclosure Clarifications: Collaborators and Other Affiliations (COA)

Table 1 - Organizational Information –
This should align with the Appointments section of the Biosketch

<table>
<thead>
<tr>
<th></th>
<th>Your Name:</th>
<th>Your Organizational Affiliation(s), last 12 mo</th>
<th>Last Active Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>A:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Table 4 – All Co-Authors/Collaborators should be listed

Table 4: List names as last name, first name, middle initial, and provide organizational affiliations, if known, for the
A: Co-authors on any book, article, report, abstract or paper with collaboration in the last 48 months (publication date may be later);
C: Collaborators on projects, such as funded grants, graduate research or others in the last 48 months.

to disambiguate common names

<table>
<thead>
<tr>
<th>4</th>
<th>Name:</th>
<th>Organizational Affiliation</th>
<th>Optional (email, Department)</th>
<th>Last Active</th>
</tr>
</thead>
<tbody>
<tr>
<td>A:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>C:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Post-Award – Failure to Disclose at Proposal Submission

As of **October 5, 2020**, awardees have an obligation to inform NSF within 30 days of becoming aware of a failure to disclose.

- If an organization discovers that a PI or co-PI on an active NSF award failed to disclose current support or in-kind contribution information as part of the proposal submission process, the AOR must submit this information within 30 calendar days.

AOR submits the information via “Other Request” category in the Notification and Request Module in Research.gov.
Post-Award – Changes in Active Other Support (RPPR Reporting)

- PIs and Co-PIs must notify NSF when active other support has changed since the award was made, or since the most recent annual report.
  - This notification must be included in the next RPPR (interim or final) submission.
  - An NSF-approved format for Current and Pending Support must be used to notify NSF in annual and final reports.
Adding Current and Pending to a Project Report

1. Has there been a change to the active other support since the last reporting?

2. If you select, “Yes” you will be required to upload your most up-to-date Current and Pending Support document.

3. The Current and Pending Support document must be submitted in an NSF-approved format.
Additional Changes to the RPPR

- Additional new questions on the approved RPPR.
  - Indicate what percentage of the award’s budget that was spent in a foreign country for this reporting period.
    - U.S.-based recipients should provide the percentage of the budget spent in the foreign country(ies) and/or, if applicable, the percentage of the budget obligated to foreign entities as first-tier subawards.
    - If more than one foreign country was involved, identify the distribution of funding between the foreign countries.
  - Indicate if there’s been a change in primary performance site location from that originally proposed.
International Collaborations on Major Facilities – Cooperative Agreements

- Effective **October 5, 2020**, a revised term and condition for international collaborations on major facilities for cooperative agreements.
- Awardee must notify NSF of any new potential collaborations with non-U.S. organizations.
- Awardee must provide a written list of all existing foreign collaborations with non-U.S. organizations.
Research.gov Updates

▷ NSF is transitioning the preparation and submission of all proposals incrementally from FastLane to Research.gov (i.e., removing FastLane option from each funding opportunity) and targeting completion by 2022.

▷ DEMO Site is now available!
  ▸ Research.gov login is required for user
When to use Research.gov

» Prepare your proposal in Research.gov, if:
  » Your funding opportunity specifies submission via Research.gov is available
  » Your proposal is one of the following types:
    » Research
    » RAPID
    » EAGER
    » RAISE
    » FASED
    » Equipment
    » Travel
  » Single and Collaborative proposals are supported
General Reminder: Proposal Preparation Requirements

Cover Sheet
- International activities (collaboration or travel) should be listed on the cover sheet
  - If the place of travel is unknown, Worldwide should be selected

- INTERNATIONAL ACTIVITIES: COUNTRY/COUNTRIES INVOLVED

Solicitations with title requirements
- Collaborative Proposals, etc.

Project Description Requirements
- Intellectual Merit section is no longer required

Renewal Proposals
- Princeton mostly submits traditional renewals
  - Renewals are competitively reviewed, they’re not automatic. If awarded, a new award number is issued
- Accomplishment based renewals
  - Special type of renewal that requires Program Officer approval prior to submission
General Reminder: Responsible Conduct of Research (RCR)

- Sponsor requirement to provide education in RCR to all graduate students, post-doctoral researchers, undergraduates and other short-term researchers.
  - This is flagged on the Princeton Notice of Award
- There are two options to meet the RCR requirement
  - In-person classes
  - Online supported by the University via the CITI Program training module.
- RCR training must be completed during the period that the individual is supported on the award.
- Sustained non-compliance may result in the support costs charged being deemed unallowable.
**RCR by Sponsor**

**NSF and USDA/NIFA**

- Allows for CITI training to complete the RCR requirement.
- Required for all awards except conference, symposium, workshop and travel awards or as stated in the funding opportunity announcement and sponsor award terms.

**NIH**

- Requires an in-person training course.
- Required on any NIH training, career development award (individual or institutional), research education grant, and/or dissertation research grant or as stated in funding opportunity announcement and sponsor award terms.
- NIH will allow for special circumstances for trainings and instruction that typically require in-person attendance:
  - Training can be completed online during this declared health emergency. This includes virtual classes that would have been taught in-person prior to the pandemic and it also includes CITI training.
  - Prior approval is not required in these specific cases.
General Reminder: Late Reporting and FAPIIS

- FAPIIS is the Federal Awardee Performance and Integrity Information System.
- In accordance with Uniform Guidance, if the grantee does not submit all required reports within one year of the period of performance end date, **NSF must report the grantee’s material failure to comply with the terms and conditions of the award with FAPIIS.**
- Late Reporting holds up funding and non-funding actions, including NCE’s.
- NSF Division of grants and Agreements (DGA) used FAPIIS information in deciding to fund a proposal.

*Once a comment is reported in FAPIIS, it does not get removed!
General Reminder:
Lessons learned from NSF OIG Audit

Documentation is key!

▶ Be mindful of late expenditures, especially equipment.
▶ Must be able to document that expenses claimed near the award expiration had a direct benefit to the grant.
   ▶ Document in the RPPR and in correspondence to the Program Manager the need for the purchase and the benefit to the grant
▶ Timely utilize no cost extensions.
Thank you :)

Office of Research and Project Administration