

## Non-Funded Agreement Assurance

This form must be submitted and uploaded with each Non-Funded Agreement that requires ORPA review and signature. Download the form to your computer prior to completion.

As the \_\_\_\_\_ I certify to the best of my knowledge:

<ul style="list-style-type: none"> <li>The complete record in this Princeton ERA Agreement module submission is true and accurate.</li> <li>I am not debarred, suspended or proposed for debarment or suspension, declared ineligible or otherwise excluded from receiving federal monies by any agency of the United States Government.</li> <li>My required annual University Conflict of Interest (COI) disclosure is accurate, complete and updated. I understand I am obligated to report in a timely fashion any changes to such disclosure in accordance with University and sponsor policies.</li> <li>I may also be obligated to report the time commitment for the project covered by this agreement as part of my other support documentation.</li> <li>I accept the responsibility for carrying out the programmatic and administrative activities, as required by University and sponsor policies.</li> <li>I understand that the University must comply with the sponsor's policies and procedures, which may include reporting to the sponsoring agency information relating to an investigation, administrative action or any finding/determination or a violation of the University's or the sponsor's policies relating to discrimination and/or harassment or research misconduct.</li> <li>Any false, fictitious or fraudulent statements or claims may subject me personally to criminal, civil or administrative penalties.</li> </ul>
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### Compliance Questions

Please complete these compliance questions as they apply to this Agreement submission

1. Will this agreement be the funding instrument from the sponsor for a previously submitted Funding Proposal (FP) in the Princeton ERA Grants module (or in Coeus)? If no, you MUST complete this form.									
2. Does this work associated with this agreement involve the following:									
a.	Human Subjects	Yes	No	Protocol No.		Approval Date		Expiration Date	
b.	Live Vertebrate Animals	Yes	No	Protocol No.		Approval Date		Expiration Date	
c.	Biological Agents (including recombinant or synthetic nucleic acids)	Yes	No	Registration No.		Approval Date		Expiration Date	
d.	Human Embryonic Stem Cells	Yes	No	List Cell Lines					
3. Select if any of the following chemical or select agents will be used for this project.									

### University Research Board Review Questions

4. Is there a lab or facility space change required for this project? If yes, attach description.		Yes	No
5. Does this project require Biosafety Level 3 (BSL-3) handling? (BSL-3 means work with agents that may cause serious or potentially lethal disease through inhalation route exposure)		Yes	No
6. Do you anticipate acquiring any materials, equipment or information that is controlled under the International Traffic in Arms (ITAR)? No ITAR controlled items or information may be brought onto Princeton's campus (including accessing ITAR-controlled information from Princeton networks or computers) without official University approval. If yes, please enter the following:		Yes	No
Manufacturer Name		Model Number	
Description of article or information being accessed			
7. During the course of the project, are you collaborating with, receiving funding from or traveling to any of these countries?			
Cuba	Iran	Iraq	North Korea
Syria	Ukraine	None of these	
8. Are you collaborating with or receiving funding from any of the countries listed below in relation to this project?			
China	Russia	Saudi Arabia	None of these

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Export Control Questions			
9. Will any equipment (including fabricated), materials or supplies be exported (including hand-carrying) to another country by Princeton University? If yes, please enter the following:                                      Yes                                      No			
Manufacturer Name		Model Number	
Description			
10. Do you anticipate working directly with any non-U.S. persons not currently employed by a U.S. institution in the course of this proposed project (e.g. exchanging information, equipment or materials)?                                      Yes                                      No If yes, complete information below (attach separate sheet if required)			
First Name	Last Name	Employer	Country

Signature

Date