

This form must be submitted and uploaded with each Grants Module Funding Proposal where the electronic Proposal Assurance is not possible to submit. This form must be completed by PI, co-PI, key personnel, and fellows/students (fellowships only). Download this form to your computer prior to completion.

As the _____ I certify to the best of my knowledge:

- The complete record in this Princeton ERA Grants module submission is true and accurate.
- I am not debarred, suspended or proposed for debarment or suspension, declared ineligible or otherwise excluded from receiving federal monies by any agency of the United States Government.
- My required annual University Conflict of Interest (COI) disclosure is accurate, complete and updated. I understand I am obligated to report in a timely fashion any changes to such disclosure in accordance with University and sponsor policies.
- I have reviewed the proposal and confirm that it includes the required disclosures related to appointments (domestic and foreign) as well as current and pending support/other support (domestic and foreign). I understand I am obligated to report in a timely fashion any changes to such disclosure in accordance with University and sponsor policies.
- I have reviewed and accept the University and sponsor requirements and policies applicable to this project. If an award is made as a result of this application, I accept responsibility for the scientific, technical and financial activities under the project, and for administering the project in accordance with University and sponsor policies, including submission of required technical reports in a timely fashion.
- I understand that the University must comply with the sponsor's policies and procedures, which may include reporting to the sponsoring agency information relating to an investigation, administrative action or any finding/determination or a violation of the University's or the sponsor's policies relating to discrimination and/or harassment or research misconduct.
- Any false, fictitious or fraudulent statements or claims may subject me personally to criminal, civil or administrative penalties.

Only the Principal Investigator is required to complete the balance of this form. All other proposal personnel (as identified in the certification drop-down list above) need only review the Assurance statement above and sign below signifying concurrence with the Assurance statements.

Compliance Questions

Please complete these compliance questions as they apply to this proposal submission. The Funding Proposal Administrative Contact must enter these responses onto the Funding Proposal Compliance page in Princeton ERA prior to proposal submission.

1. Does this work associated with this agreement involve the following:

a.	Human Subjects	Yes	No	Protocol No.		Approval Date		Expiration Date	
b.	Live Vertebrate Animals	Yes	No	Protocol No.		Approval Date		Expiration Date	
c.	Biological Agents (including recombinant or synthetic nucleic acids)	Yes	No	Registration No.		Approval Date		Expiration Date	
d.	Human Embryonic Stem Cells	Yes	No	List Cell Lines					

2. Select if any of the following chemical or select agents will be used for this project.

University Research Board Review Questions

3. Is there a lab or facility space change required for this project? If yes, attach details.

Yes No

4. Does this project require Biosafety Level 3 (BSL-3) handling?
(BSL-3 means work with agents that may cause serious or potentially lethal disease through inhalation route exposure)

Yes No

5. Do you anticipate acquiring any materials, equipment or information that is controlled under the International Traffic in Arms (ITAR)? *No ITAR controlled items or information may be brought onto Princeton's campus (including accessing ITAR-controlled information from Princeton networks or computers) without official University approval.*

Yes No

If yes, please enter the following:

Manufacturer Name		Model Number	
Description of article or information being accessed			

6. During the course of the project, are you collaborating with, receiving funding from or traveling to any of these countries?

Cuba Iran Iraq North Korea Syria Ukraine None of these

7. Are you collaborating with or receiving funding from any of the countries listed below in relation to this project?

China Russia Saudi Arabia None of these

Grants Proposal Assurance

Export Control Questions			
8. Will any equipment (including fabricated), materials or supplies be exported (including hand-carrying) to another country by Princeton University? If yes, please enter the following:			
Yes		No	
Manufacturer Name		Model Number	
Description			
9. Do you anticipate working directly with any non-U.S. persons not currently employed by a U.S. institution in the course of this proposed project (e.g. exchanging information, equipment or materials)?			
Yes		No	
If yes, complete information below (attach separate sheet if required) and add this information to Question 3B on the Personnel page of the Funding proposal.			
First Name	Last Name	Employer	Country

Signature

Date