PRINCETON UNIVERSITY SPONSORED PROJECT RATE SHEET

The following rates apply to all proposals for sponsored research projects. Budgets must be prepared using these rates under federal regulations. Rates are negotiated annually. This page is frequently updated – please check it regularly to confirm you have the most current information.

DIREC'T COST RATES

<table>
<thead>
<tr>
<th>SALGS – Assistant in Research (Grad. Stud.) (eff. 7/1/20)</th>
<th>FRINGE – Fringe Benefit Rate (all except students) (eff. 2/3/20)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Academic Year (10 months) Pre and Post</td>
<td>Main Campus FY2021</td>
</tr>
<tr>
<td></td>
<td>$30,800</td>
</tr>
<tr>
<td>Summer (maximum amount)</td>
<td>Main Campus FY2022 (provisional)</td>
</tr>
<tr>
<td></td>
<td>Non-academic Departments FY2021</td>
</tr>
<tr>
<td></td>
<td>Non-academic Departments FY2022 (provisional)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>AIARTU – Tuition/Fees – Assistant in Research (eff. 7/1/19)</th>
<th>INSTAL – Institutional Allowance (post-doctoral fellows) (eff. 7/1/19)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(all rates include $1,900 student health fee)</td>
<td>Refer to Dean of Faculty memo for more information</td>
</tr>
<tr>
<td>Sponsor allowing federally negotiated F&amp;A rate</td>
<td>Memo #22</td>
</tr>
<tr>
<td>$26,885</td>
<td>Appointments of more than 3 to 12 months</td>
</tr>
<tr>
<td>Sponsor allowing less than federally negotiated F&amp;A</td>
<td>$9,200</td>
</tr>
<tr>
<td>$53,770</td>
<td>Appointments of 3 months or less</td>
</tr>
<tr>
<td>Dissertation Completion Enrollment Rate (DCE) 10 mo. AY</td>
<td>$3,300</td>
</tr>
<tr>
<td>$5,800</td>
<td></td>
</tr>
<tr>
<td>Visiting Student Research Collaborator (VSRC) fee</td>
<td></td>
</tr>
<tr>
<td>$600/mo.</td>
<td></td>
</tr>
</tbody>
</table>

FACILITIES AND ADMINISTRATIVE (INDIRECT COST) RATES

<table>
<thead>
<tr>
<th>FACADM – Facilities and Administrative Rates</th>
</tr>
</thead>
<tbody>
<tr>
<td>DHHS Rate Agreement dated February 3, 2020</td>
</tr>
<tr>
<td>(Copy of Agreement available on ORPA Website)</td>
</tr>
<tr>
<td>Modified Total Direct Costs (MTDC)</td>
</tr>
<tr>
<td>This rate type applies to all direct costs except equipment over $5,000, tuition and fees, stipends, participant support costs, and subawards above the $25,000 for each subaward</td>
</tr>
<tr>
<td>Total Direct Costs (TDC)</td>
</tr>
<tr>
<td>This rate type applies to all budget items and should be used when the Sponsor does not permit the use of the federally negotiated rate</td>
</tr>
</tbody>
</table>

| Overall Proposal | 4% |
| Tuition/Fees – AIARTU | 4% |

<table>
<thead>
<tr>
<th>ESCALATION RATES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assistant in Research Salaries (Graduate Students) – SALGS</td>
</tr>
<tr>
<td>Undergraduate Student Salaries – WAGESH</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>NIH SPECIFIC RATES</th>
</tr>
</thead>
<tbody>
<tr>
<td>NIH Salary Cap – Exec. Level II (eff. 1/6/2020)</td>
</tr>
<tr>
<td>When calculating salary, use 9 mo. AY salary + summer salary, Amount over the cap must be charged to dept. acct.</td>
</tr>
<tr>
<td>NIH Postdoctoral Level &quot;0&quot; Stipend – CY (eff. 10/1/2019)</td>
</tr>
<tr>
<td>NIH Predoctoral Trainee/Fellow Stipend – CY (eff. 10/1/2019)</td>
</tr>
<tr>
<td>Additional information can be found at NOT-OD-20-070</td>
</tr>
</tbody>
</table>

Updated 4/20/20
### DATA FOR PROPOSALS – INFORMATION FREQUENTLY REQUESTED BY SPONSORS

<table>
<thead>
<tr>
<th>Legal Corporate Name</th>
<th>Type of Organization</th>
<th>Sponsored Projects Email Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Trustees of Princeton University</td>
<td>Private, Non-Profit, Educational</td>
<td><a href="mailto:awards@princeton.edu">awards@princeton.edu</a></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Sponsored Projects Mailing Address</th>
<th>Sponsored Projects Payment Address</th>
<th>Authorized Institutional Official (AOR)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Office of Research and Project Administration PO Box 36 – 87 Prospect Avenue (overnight mail) Princeton, NJ 08544</td>
<td>Sponsored Research Accounting 701 Carnegie Center – Ste 443 Princeton, NJ 08540</td>
<td>Elizabeth Adams, Director Office of Research and Project Administration Tel: 609-258-3090 Email: <a href="mailto:awards@princeton.edu">awards@princeton.edu</a></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Cognizant Negotiating Agency (F&amp;A)</th>
<th>Defense Contract Management Agency(DCMA)</th>
<th>Sponsored Projects Financial Contact</th>
</tr>
</thead>
<tbody>
<tr>
<td>Department of Health and Human Services Rebecca Kaplan 26 Federal Plaza New York, NY 10274 Tel: 212-264-2069</td>
<td>Office of Naval Research – Boston Ross Joseph 495 Summer Street – Room 221 Boston, MA 02210-4002 Tel: 617-753-3543 Email: <a href="mailto:ross.joseph@navy.mil">ross.joseph@navy.mil</a></td>
<td>Glynis Sherard, Director Sponsored Research Accounting Tel: 609-258-3070 Email: <a href="mailto:sra@princeton.edu">sra@princeton.edu</a></td>
</tr>
</tbody>
</table>

### ALPHABETIC LISTING OF IDENTIFYING INFORMATION

<table>
<thead>
<tr>
<th>Uniform Guidance Audit Report</th>
<th>Dun and Bradstreet Number (DUNS)</th>
<th>NJ Sales and Use Tax Exemption Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>See ORPA Fact Sheet on ORPA website</td>
<td>00-248-4665</td>
<td>E210-634-501/000 (contact Fin.Svc.Ctr. for copy)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Annual Research Misconduct Report</th>
<th>Department of Labor EEO Compliance Review</th>
<th>NAICS Code</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>CAGE Code</th>
<th>Equal Employment Opportunity (EEO) Number</th>
<th>National Science Foundation Inst. Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>48486</td>
<td>1019981</td>
<td>0026278000</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Contractor Establishment Code (CEC)</th>
<th>Establishment Date</th>
<th>Public Health Service Entity Identification</th>
</tr>
</thead>
<tbody>
<tr>
<td>11-560-219F</td>
<td>October 22, 1746</td>
<td>1210634501A1</td>
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<table>
<thead>
<tr>
<th>Cost Accounting Standards Board (CASB)</th>
<th>Federal Entity Number (Employer/Tax ID) EIN/TIN</th>
<th>SAM – System For Award Management Expiration Date – February 12, 2021</th>
</tr>
</thead>
<tbody>
<tr>
<td>Statement Filed With DHHS-August 24,2000</td>
<td>21-0634501</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Integrated Postsecondary Education Data System (IPEDS)</th>
<th>Office of Postsecondary Education ID Number (OPEID) (formally FICE)</th>
<th>US Congressional District/ NJ Legislative</th>
</tr>
</thead>
<tbody>
<tr>
<td>186131</td>
<td>00262700</td>
<td>Congressional NJ-012/Legislative NJ-016</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DOD Property Control Systems Approval (PCSA)</th>
<th>National Institutes of Health Institutional Profile</th>
<th>US Department of Education Entity</th>
</tr>
</thead>
<tbody>
<tr>
<td>May 20, 2019</td>
<td>6661401</td>
<td>002484665</td>
</tr>
</tbody>
</table>

### ANIMAL AND HUMAN SUBJECT COMPLIANCE (IRB AND IACUC)

<table>
<thead>
<tr>
<th>Animal Welfare Assurance Number</th>
<th>AAALAC Int’l Institutional Number Accredited Since 2002</th>
<th>Human Subjects Assurance Number (FWA)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>NJ Division Fish &amp; Wildlife-Exotic /Non-game Wildlife Species Possession Permit – Scientific Holding (NHP, Frogs, Reptiles)</th>
<th>NJ Division Fish &amp; Wildlife-Exotic/Non-game Wildlife Species Possession Permit Endangered Species (salamanders)</th>
<th>United States Department of Agriculture (USDA) Registration Number</th>
</tr>
</thead>
</table>

Updated 3/31/20